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Examiner: Michael P. Mooney

11.4.03

Art Unit: 2877

07/03/2003

JUL 0 3 2003 §

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Vahala et al

Filed:

02/16/2001

App. No.:

09/788,300

For:

Resonant optical filters

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97(c)

Commissioner for Patents

PO Box 1450

et No. ALG08NP

Alexandria, VA 22313-1450

Sir:

An Information Disclosure Statement is submitted herewith, listing U. S. Pat. No. 6,222,964 (photocopy also enclosed). Said patent was cited in an Office Action in a related application, and deemed potentially material in the present application. The conditions of 37 CFR §1.97(c) are met. Accordingly, the prescribed fee set forth in 37 CFR §1.17(p) is enclosed herewith.

Respectfully submitted,

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Sheet 1

PTO/SB/08A (04-03) Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

09/788,300

02/16/2001

Vahala

2877

Under the Paperwork Reduction Act of 1995, no persons are required to pond to a collection of information unless it contains a valid OMB control number. Complete if Known

Application Number

First Named Inventor

Filing Date

Art Unit

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Examiner Name Michael P. Mooney Attorney Docket Number ALG08NP of |1

U. S. PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear			
		^{US-} 6,222,964	04-24-2001	Sadot et al				
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FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages				
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)			Or Relevant Figures Appear	T ⁶			
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Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.